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PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
 3. ☒ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets - 5. ☒ Oath or Declaration [Total Pages - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information

Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name

SUNIL DHUPER

Address

47, RED GROUND ROAD

City

OLD WESTBURY

State

NY

Zip Code

11568

Country

USA

Telephone

(516) 626-3439

Fax

5166266027

Name (Print/Type)

SUNIL DHUPER

Registration No. (Attorney/Agent)

Signature

Date

1-30-02

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 510

Complete if Known

Application Number
 Filing Date
 First Named Inventor
 Examiner Name
 Group Art Unit
 Attorney Docket No.

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number
 Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|------------------------|----------|
| 101 | 201 | 740 | 370 | Utility filing fee | 370 |
| 106 | 206 | 330 | 165 | Design filing fee | |
| 107 | 207 | 510 | 255 | Plant filing fee | |
| 108 | 208 | 740 | 370 | Reissue filing fee | |
| 114 | 214 | 160 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$ 370

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | |
| Multiple Dependent | -3** = | X | |
| | | 140 | 140 |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|------------------------------------------------------------|
| 103 | 203 | 18 | 9 | Claims in excess of 20 |
| 102 | 202 | 84 | 42 | Independent claims in excess of 3 |
| 104 | 204 | 280 | 140 | Multiple dependent claim, if not paid |
| 109 | 209 | 84 | 42 | ** Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 140

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------------------------------------------------------------------------|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 139 | 130 | 130 | Non-English specification | |
| 147 | 147 | 2,520 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 112 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 113 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 215 | 110 | 55 | Extension for reply within first month | |
| 116 | 216 | 400 | 200 | Extension for reply within second month | |
| 117 | 217 | 920 | 460 | Extension for reply within third month | |
| 118 | 218 | 1,440 | 720 | Extension for reply within fourth month | |
| 128 | 228 | 1,960 | 980 | Extension for reply within fifth month | |
| 119 | 219 | 320 | 160 | Notice of Appeal | |
| 120 | 220 | 320 | 160 | Filing a brief in support of an appeal | |
| 121 | 221 | 280 | 140 | Request for oral hearing | |
| 138 | 138 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidable | |
| 141 | 241 | 1,280 | 640 | Petition to revive - unintentional | |
| 142 | 242 | 1,280 | 640 | Utility issue fee (or reissue) | |
| 143 | 243 | 460 | 230 | Design issue fee | |
| 144 | 244 | 620 | 310 | Plant issue fee | |
| 122 | 122 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 123 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 126 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 581 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 740 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 249 | 740 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 279 | 740 | 370 | Request for Continued Examination (RCE) | |
| 169 | 169 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print/Type) SUNIL DHUPER

Registration No (Attorney/Agent)

Complete (if applicable)

Telephone (516) 626-3439

Signature

Date 1-30-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents Washington DC 20231

FOR PICKUP CALL 1-800-375-8787

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT OF THE RETURN ADDRESS. FOLD AT DOTTED LINE

CERTIFIED MAIL



7001 1940 0001 7338 2334

PRIORITY

U.S. POSTAGE
PAID
OLD WESTBURY, NY
11568
JAN 29 02
AMOUNT

\$7.10
00057232-24



20231

9262

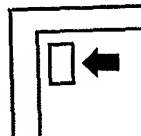
www.usps.com

HOW TO USE:



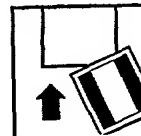
1. COMPLETE ADDRESS LABEL AREA

Type or print required return address and addressee information in customer block (white area) or on label (if provided).



2. PAYMENT METHOD

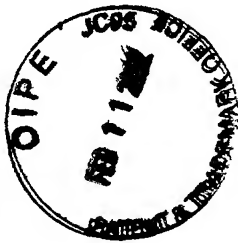
Affix postage or meter strip to area indicated in upper right hand corner.



3. ATTACH LABEL (if provided)

Remove label backing and adhere over customer address block area (white area).

FROM SUNIL DHUPER
47, RED GROUND ROAD
OLD WESTBURY, NY 11568



JC697 U.S. PTO
10/072282
02/11/02

TO: ASSISTANT COMMISSIONER FOR PATENTS

BOX PATENT APPLICATION

WASHINGTON, D.C. 20231



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02/11/02